

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **McGee et al.**

Serial No.: **Not Assigned**

Filed: **March 12, 2004**

For: **Method and Apparatus for
Maintaining Compatibility Within a
Distributed Systems Management
Environment With a Plurality of
Configuration Versions**

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§ **Group Art Unit: Not Assigned**

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§ **Examiner: Not Assigned**

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§ **Attorney Docket No.: RSW920030263US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

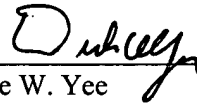
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0629. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0629.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 3/10/04

Respectfully submitted,



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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. RSW920030263US1		SERIAL NO. Not Assigned	
APPLICANT McGee et al.			FILING DATE March 12, 2004		GROUP ART UNIT Not Assigned	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 6,393,442 B1	May 21, 2002	Cromarty et al.	707/523	May 8, 1998	
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	AC 2002/0083097	Jun. 27, 2002	Warrington	707/513	Oct. 5, 2001	
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	AE 2001/0049743	Dec. 6, 2001	Phippen et al.	709/237	Jan. 17, 2001	
	AF 2002/0002567	Jan. 3, 2002	Kanie et al.	707/513	Jan. 18, 2001	
	AG 2002/0069224	Jun. 6, 2002	Asai et al.	707/513	Nov. 2, 2001	
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	AI 2002/0120652	Aug. 29, 2002	Rising, III et al.	707/513	Oct. 22, 2001	
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	AK 2002/0194220	Dec. 19, 2002	Sluiman	707/513	Apr. 24, 2001	
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	AP 2001/0037404	Nov. 1, 2001	Hafsteinsson et al.	709/246	Apr. 4, 2001	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
RELATED PATENT APPLICATIONS						
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE			FILING DATE
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
	AQ	Marian et al., "Change-Centric Management of Versions in an XML Warehouse", Proceedings of the 27 th International Conference on Very Large Databases, pp. 581-590, 11-14 Sept. 2001.				
	AR	Westfechtel et al., "A Layered Architecture for Uniform Version Management", IEEE Transactions on Software Engineering, Vol. 27, No. 12, pp. 1111-1133, Dec. 2001.				
	AS	Chien et al., "XML Document Versioning", SIGMOD Record, Vol. 30, No. 3, pp. 46-53, Sept. 2001.				
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	AU	Chien et al., "Copy-Based Versus Edit-Based Version Management Schemes for Structured Documents", Proceedings Eleventh International Workshop on Research Issues in Data Engineering Document Management for Data Intensive Business and Scientific Applications, RIDE 2001, pp. 95-102, 1-2 April 2001.				
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	AX	Wang et al, "Proceedings of the 1997 ACM SIGMOD International Conference on Management of Data, SIGMOD Record, Vol. 26, No. 2, pp. 560-563, 2 June 1997.				
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